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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,532	06/25/2003	Michael Joseph Pizzo	13768.402	4133
47973 7590 12/21/2006 WORKMAN NYDEGGER/MICROSOFT 1000 EAGLE GATE TOWER 60 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84111			EXAMINER PONIKIEWSKI, TOMASZ	
			ART UNIT 2165	PAPER NUMBER
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		12/21/2006	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No. 10/603,532	Applicant(s) PIZZO ET AL.	
	Examiner Tomasz Ponikiewski	Art Unit 2165	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 December 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11 and 36-38 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-11 and 36-38 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 13-June-2006 has been entered.

2. The amendment filed on 4-December-2006 has been received and entered. Applicant's amendment has overcome previous claim objections. Claims 1-11 and 36-38 are pending.

Claim Objections

3. Claims 1 and 36 are objected to because of the following informalities:

Claims 1 and 36 are objected to because of the following informalities: in the body of the claims applicant recite "can be". Suggests option that implies that it actually doesn't have to be. Appropriate correction is required.

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Claims 1 and 36 recite "to efficiently generate" in the body of the claims. The recitation is not a functional, quantitative language as it does not recite definite meaning. Claims should be amended to delete "efficiently" and only to state "to generate".

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-2, 4, 7, 9-11 and 36-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chu et al. (U.S. 6,493,720 B1) in view of Zondervan et al. (US 6,516,327 B1).

As per claim 1 Chu et al. is directed to a computer system that accesses a database having one or more data tables, the computer system configured to provide content from the database to a Web server for inclusion in Web based responses to requests for Web based content, a method for configuring the database to provide a change notification when content in one of the data tables relevant to the Web server is altered, the method comprising the following:

an act of selecting a data table that is to be monitored for content changes, the selected data table selected from among the one or more data tables of the database, the selected data table providing cacheable content to the Web server to efficiently

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generate Web responses responsive to Web based requests for content (Chu et al., column 3, lines 52-55, wherein “data table” means “file manager, ..., or a database system”);

an act of assigning a trigger to the selected data table, the trigger configured to update the versioning information included in the record in the change notification table when content in the selected data table is altered (Chu et al., column 7 lines 60-61, wherein “trigger” means “schedule”);

Chu et al. does not teach an act of inserting a record for the selected data table into a change notification table, the corresponding record including versioning information identifying and corresponding to the selected data table, the versioning information retrievable by the Web server to determine when a corresponding cache entry containing cacheable content from selected data table is invalid.

Zondervan et al. teaches an act of inserting a record for the selected data table into a change notification table, the corresponding record including versioning information identifying and corresponding to the selected data table, the versioning information retrievable by the Web server to determine when a corresponding cache entry containing cacheable content from selected data table is invalid (Zondervan et al., column 11, lines 34-50; column 12, lines 20-34).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify Chu et al. by teachings of Zondervan et al. to include an act of inserting a record for the selected data table into a change notification table, the

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corresponding record including versioning information identifying and corresponding to the selected data table, the versioning information retrievable by the Web server to determine when a corresponding cache entry containing cacheable content from selected data table is invalid because having table dedicated to changes allows less processing overhead.

Chu et al. does not teach an act of updating the versioning information in the change notification table in response to a portion of content in the selected data table being altered.

Zondervan et al. teaches an act of updating the versioning information in the change notification table in response to a portion of content in the selected data table being altered (Zondervan et al., column 11, lines 34-50)

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify Chu et al. by teachings of Zondervan et al. to include act of updating the versioning information in the change notification table in response to a portion of content in the selected data table being altered because it would be efficient to store changes in separate, dedicated location.

Chu et al. as modified still does not teach an act of sending the updated versioning information to the Web server such that the updated versioning information can be compared to the versioning information at the Web server to determine the validity of the corresponding cache entry.

Zondervan et al. teaches an act of sending the updated versioning information to the Web server such that the updated versioning information can be compared to the

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versioning information at the Web server to determine the validity of the corresponding cache entry (Zondervan et al., column 11, lines 34-50; column 12, lines 20-34)

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify Chu et al. as modified by teachings of Zondervan et al. to include teach an act of sending the updated versioning information to the Web server such that the updated versioning information can be compared to the versioning information at the Web server to determine the validity of the corresponding cache entry because it is required to keep the changes up to date..

As per claim 2 Chu et al. as modified is directed to the act of selecting a data table that is to be monitored for content changes comprises an act of receiving user-input that causes the computer system to select a data table is to be monitored for content changes (Chu et al., column 7, lines 33-36).

As per claim 4 Chu et al. as modified is directed to the act of inserting a record for the selected data table into a change notification table compromises an act of inserting the record in response to user-input (Chu et al., column 7, lines 14-16, wherein "inserting" means "registering").

As per claim 7 Chu et al. as modified is directed to the act of assigning a trigger to the selected data table comprises an act of receiving user input instructing a trigger to

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be assigned to the selected data table (Chu et al., column 7 lines 60-61, wherein “trigger” means “schedule”).

As per claim 9 Chu et al. as modified is directed to the act of assigning a trigger to the selected data table comprises an act of the assigning a trigger that, when executed by a processing unit at the computer system in response to content in the selected data table being altered, will update a corresponding change ID in the table change notification table (Chu et al., column 7, lines 42-46).

As per claim 10 Chu et al. as modified is directed to the act of updating the versioning information in the change notification table in response to content in the selected data table being altered comprises an act of executing the trigger (Chu et al., column 7, lines 42-46).

As per claim 11 Chu et al. as modified is directed to the act of sending the updated versioning information to the Web server comprises an act of sending updated versioning information that indicates to the Web server that the cache is to be invalidated (Chu et al., column 6, lines 14-19; Chu et al., column 6, lines 42-46, Chu et al., column 9, lines 22-25).

As per claim 36 Chu et al. is directed to a computer program product executed at a computer system that access a database having one or more data tables, the

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computer system configured to provide content from the database to a web server for inclusion in Web based responses to requests for Web based content, the computer program product implementing a method for configuring the database to provide a table change notification to the Web server when data in one of the data tables relevant to the Web server is altered, the computer program product comprising one or more computer-readable storage media having stored thereon computer executable instructions that, when executed by a processing unit, implement the method including the following:

select a data table that is to be monitored for data changes , the selected data table selected from among the one or more data tables of the database, the selected data table providing cacheable content to the Web server to efficiently generate of Web responses responsive to Web based requests for content (Chu et al., column 3, lines 52-55, wherein "data table" means "file manager, ..., or a database system");

assign a trigger to the selected data table, the trigger configured to update the versioning information in the record in the change notification table when data in the selected data table is altered (Chu et al., column 7 lines 60-61, wherein "trigger" means "schedule");

Chu et al. does not teach an act of inserting a record for the selected data table into a change notification table, the corresponding record including versioning information identifying and corresponding to the selected data table, the versioning information retrievable by the Web server to determine when a corresponding cache entry containing cacheable content from selected data table is invalid.

Zondervan et al. teaches an act of inserting a record for the selected data table into a change notification table, the corresponding record including versioning information identifying and corresponding to the selected data table, the versioning information retrievable by the Web server to determine when a corresponding cache entry containing cacheable content from selected data table is invalid (Zondervan et al., column 11, lines 34-50; column 12, lines 20-34).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify Chu et al. by teachings of Zondervan et al. to include an act of inserting a record for the selected data table into a change notification table, the corresponding record including versioning information identifying and corresponding to the selected data table, the versioning information retrievable by the Web server to determine when a corresponding cache entry containing cacheable content from selected data table is invalid because having table dedicated to changes allows less processing overhead.

Chu et al. does not teach an act of updating the versioning information in the change notification table in response to a portion of content in the selected data table being altered.

Zondervan et al. teaches an act of updating the versioning information in the change notification table in response to a portion of content in the selected data table being altered (Zondervan et al., column 11, lines 34-50)

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify Chu et al. by teachings of Zondervan et al. to include act

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of updating the versioning information in the change notification table in response to a portion of content in the selected data table being altered because it would be efficient to store changes in separate, dedicated location.

Chu et al. as modified still does not teach an act of sending the updated versioning information to the Web server such that the updated versioning information can be compared to the versioning information at the Web server to determine the validity of the corresponding cache entry.

Zondervan et al. teaches an act of sending the updated versioning information to the Web server such that the updated versioning information can be compared to the versioning information at the Web server to determine the validity of the corresponding cache entry (Zondervan et al., column 11, lines 34-50; column 12, lines 20-34)

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify Chu et al. as modified by teachings of Zondervan et al. to include teach an act of sending the updated versioning information to the Web server such that the updated versioning information can be compared to the versioning information at the Web server to determine the validity of the corresponding cache entry because it is required to keep the changes up to date.

As per claim 37 Chu et al. as modified is directed to the one or more computer-readable storage media are physical media (Chu et al., column 2, lines 49-50).

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As per claim 38 Chu et al. as modified is directed to the one or more computer-readable storage media include system memory (Chu et al., column 2, lines 46-56).

5. Claims 3, 5 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chu et al. (US 6,493,720 B1) in view of Zondervan et al. (US 6,516,327 B1) and further in view of Jim Challenger, Arun Iyengar, Paul Dantzig "A scalable system for Consistently Caching Dynamic Web Data", (from here on referred as Challenger et al.)

As per claim 3 Chu et al. as modified still does not teach the act of selecting a data table that is to be monitored for content changes comprises an act of the computer system automatically selecting a data table in response to a received Web request.

Challenger et al. does teach the act of selecting a data table that is to be monitored for content changes comprises an act of the computer system automatically selecting a data table in response to a received Web request (page 300, column 1 last paragraph, lines 4-8, wherein the system is aware of only "athlete page" being imputed hence that is table selected).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify Chu et al. as modified by teachings of Challenger et al. to include the act of selecting a data table that is to be monitored for content changes comprises an act of the computer system automatically selecting a data table in response to a received Web request because automation is more efficient use of resources (see Challenger et al. abstract).

As per claim 5 Chu et al. as modified still does not teach the act of inserting a record for the selected data table into a change notification table comprises an act of the computer system automatically inserting the record in response to a Web request.

Challenger et al. does teach the act of inserting a record for the selected data table into a change notification table comprises an act of the computer system automatically inserting the record in response to a Web request (page 301, column 1, lines 24-27; column 2, lines 9-10, wherein "inserting" means "adding")

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify Chu et al. as modified by teachings of Challenger et al. to include the act of inserting a record for the selected data table into a change notification table comprises an act of the computer system automatically inserting the record in response to a Web request because automation is more efficient use of resources (see Challenger et al. abstract).

As per claim 8 Chu et al. as modified still does not teach the act of assigning a trigger to the selected data table comprises an act of the computer system automatically assigning a trigger in response to receiving a Web request for content contained in the selected data table.

Challenger et al. does teach the act of assigning a trigger to the selected data table comprises an act of the computer system automatically assigning a trigger in

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response to receiving a Web request for content contained in the selected data table (page 301, column 1, section "3.5 The Trigger Table").

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify Chu et al. as modified by teachings of Challenger et al. to include the act of assigning a trigger to the selected data table comprises an act of the computer system automatically assigning a trigger in response to receiving a Web request for content contained in the selected data table because automation is more efficient use of resources (see Challenger et al. abstract).

6. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chu et al. (US 6,493,720 B1) in view of Zondervan et al. (US 6,516,327 B1) and further in view of Dettinger et al. (US PUB 2003/0093413 A1).

As per claim 6 Chu et al. as modified still does not teach the act of inserting a record for the selected data table into a change notification table compromises an act of inserting the record into a SQL table.

Dettinger et al. does teach the act of inserting a record for the selected data table into a change notification table compromises an act of inserting the record into a SQL table (Dettinger et al. page 4, paragraph 0036, lines 11-13).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify Chu et al. as modified by teachings of Dettinger et

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al. to include inserting a record into a SQL table because SQL language is most commonly used in databases.

Response to Arguments

7. Applicant's arguments with respect to claims 1-11 and 36-38 have been considered but are moot in view of the new ground(s) of rejection.

As to applicant's request to provide references supporting the teachings officially noticed, the examiner has not used official notice in prior action. The examiner does not know which teachings the applicant is referring to.

Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tomasz Ponikiewski whose telephone number is (571)272-1721. The examiner can normally be reached on 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey A. Gaffin can be reached on (571)272-4146. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Tomasz Ponikiewski
December 13, 2006

E P Lehoue
primary examiner